



# Nominee Declaration

I confirm that I agree to the registration of my investments in the name of a nominee company of the Davy Group or in a segregated account with an eligible custodian ('Davy Nominee Account Service').

I understand and acknowledge that the Davy Nominee Account Service is subject to **certain charges**, set out at [www.davy.ie/charges](http://www.davy.ie/charges), and is governed by the Terms and Conditions of the Davy Telephone Trading Account (Execution-Only).

If you are an existing Davy client, please state your Client Account Number (if known).

Client Account Number 

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Client Name  
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Client Address  
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.....  
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Joint Account Holder Name  
.....

ACCOUNT HOLDERS

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Signature  
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Date  
.....

Signature  
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Date  
.....

## The Davy Nominee Account Service

### What is a Nominee Account?

This is where you nominate us to administer your shares on your behalf.

### Benefits of a Nominee Account.

- **Speed.** Holding your shares electronically means we can act on your instructions immediately resulting in faster settlement and payment for you;
- **Security.** You do not have to worry about losing paper certificates or the cost of replacing them;
- **Convenience.** We handle the paperwork associated with share ownership, collect dividends on your behalf and deal with any corporate actions, such as exercising subscription or conversion rights;
- **Records.** We maintain all necessary records for you and send you a statement of your holdings at least once a year;

Our Nominee Company is registered as the *legal* owner of your shares and you will at all times remain the *beneficial* owner of the shares. As registered holder, Davy is obliged by law, and by the Financial Regulator, to account to you for the shares it holds on your behalf and any benefits associated with the shares.

## Davy Nominee Terms and Conditions

### Irish and UK Securities

You may choose to hold your securities in the name of a nominee company of the Davy Group which must operate in accordance with the requirements of the Financial Regulator.

We will keep appropriate records to make sure that we can easily identify the quantity of securities we hold for you. We will keep these records in accordance with best market practice. We will confirm your holdings in writing to you at least once each calendar year.

We will claim or add to your account all dividends, interest payments and other rights due to you in accordance with your instructions if we have received such instructions from you.

If you have sent us a valid filled-in Dividend Withholding Tax (DWT) exemption form, we will make your DWT status known to those Irish companies in which you hold shares. When we do this, you will receive your dividends before deductions.

We will take reasonable steps to contact you before the following events to get your instructions:

- if there are conversion and subscription rights which must be used or taken up;
- in the event of take-overs, other optional corporate actions or capital re-organisations.

If we cannot contact you to get your instructions for these events or if we do not hear from you, we will take no further action on your behalf in respect of these events.

If your investments are held by and in the name of the relevant nominee company in the Davy Group there is no risk that any default by Davy could impact on your investments.

### Other securities

If you deal in securities that do not participate in the domestic settlement system we will register those securities with an eligible custodian which is not part of the Davy Group. Your securities will be held by the custodian separately from ours and any of the companies to which we are affiliated.

We will keep appropriate records to make sure that we can easily identify the quantity of securities held for you by our custodian. We will keep these records in accordance with best market practice. We will confirm your holdings in writing to you at least once each calendar year.

Where we have received reasonable notice of the following events, if it is practicable to do so, we will attempt to contact you at the most current contact details you have given us to get your instructions for:

- conversion and subscription rights which must be used or taken up,

or

- take-overs, other optional corporate actions or capital re-organisations.

If we are unable to contact you or if we do not hear from you, we will take no further action on your behalf in respect of those events.

We are careful in our choice of custodian, however, we do not accept any liability for any action taken by or for the default of that custodian.

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[www.davy.ie](http://www.davy.ie)

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**London Office**, 13th Floor, Dashwood House, 69 Old Broad Street, London EC2M 1QS, England. Tel: +44 207 448 8880 Confidential © Davy 2014

*J&E Davy, trading as Davy, is regulated by the Central Bank of Ireland. Davy is a member of the Irish Stock Exchange, the London Stock Exchange and Euronext. In the UK, Davy is authorised by the Central Bank of Ireland and authorised and subject to limited regulation by the Financial Conduct Authority. Details about the extent of our authorisation and regulation by the Financial Conduct Authority are available from us on request.*